

PATENT

Attorney Docket No. 72225 (7423)



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): William B. Ruelein et al. )  
)  
Appln No.: 10/059,057 )  
)  
Filed: January 30, 2002 )  
)  
For: USER INTERFACE FOR A )  
DOCUMENT COMPONENT )  
MANAGEMENT AND )  
PUBLISHING SYSTEM )  
)  
Group Art )  
Unit: 2176 )  
)  
Examiner: )

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

**Box MISSING PARTS**

Commissioner of Patents and Trademarks  
ATTENTION: Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts dated February 27, 2002, enclosed are:

- ☒ A copy of the Notice to File Missing Parts.
- ☒ An executed Declaration for Patent Application, including Power of Attorney.
- ☒ 9 sheet(s) of drawings are enclosed. ☐ Formal ☒ Informal
- ☒ An Assignment of the invention to BenefitNation, and Recordation Form Cover Sheet - Patents Only - Form PTO-1595.
- ☐ A check in the amount of \$\_\_\_\_\_ to cover the fee for recording the assignment.
- ☒ The Recordation Form Cover Sheet includes authorization to charge our Deposit Account for recording the assignment.

☒ Fee Calculation:

Fee Calculation For Claims As Filed

<input type="checkbox"/> Provisional Application Basic Fee	\$160.00	
Surcharge under 37 C.F.R. § 1.16(1)	\$ 50.00	
<input checked="" type="checkbox"/> Non-Provisional Utility Application Basic Fee	\$ 740.00	\$ 740.00
Independent Claims      3 - 3 = 0	x \$ 84.00	= \$ 0.00
Total Claims              41 - 20 = 21	x \$ 18.00	= \$ 378.00
Fee for Multiply Dependent Claims	\$ 280.00	
Surcharge under 37 C.F.R. § 1.16(e)	\$ 130.00	\$ 130.00
<input type="checkbox"/> Design Application Basic Fee	\$ 330.00	
Surcharge under 37 C.F.R. § 1.16(e)	\$ 130.00	
Total Filing and/or Surcharge Fee		\$ 1,248.00
<input type="checkbox"/> Applicant(s) assert entitlement to Small Entity Status, reducing the Filing/Surcharge Fee by half to:		\$ 0.00

☐ A check in the amount of \$\_\_\_\_\_ to cover the Filing and/or Surcharge Fee.

☒ Charge \$ \$1,248.00 to Deposit Account No. 06-1135.

☒ The Commissioner is hereby authorized to charge any additional fees which may be required in this application under 37 C.F.R. §§ 1.16-1.17 during its entire pendency, or credit any overpayment, to Deposit Account No. 06-1135. Should no proper payment be enclosed herewith, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 06-1135. This sheet is filed in triplicate.

April 16, 2002

Date



Charles W. Peterson, Jr.

Registration No. 34,406

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/059,057	04/16/2002	William B. Reulein	72225 (7423)

CONFIRMATION NO. 4143

## FORMALITIES LETTER



\*OC00000007546979\*

22242  
FITCH EVEN TABIN AND FLANNERY  
120 SOUTH LA SALLE STREET  
SUITE 1600  
CHICAGO, IL 60603-3406

Date Mailed: 02/27/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$378.
  - \$378 for 21 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1248.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

04/18/2002 NMOHAMM1 00000133 061135 10059057

01 FC:101	740.00 CH
02 FC:103	378.00 CH
03 FC:105	130.00 CH

*A copy of this notice MUST be returned with the reply.*

Gvorachanka

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

[illegible]